



STIC EIC 3600 228438

Fast & Focused Search Request

Today's Date: 6/19/2007 Class/Subclass 705/35, 36R What date would you like to use to limit the search? Priority Date: 1/26/2000 Other:

Name Elda Milef Format for Search Results (Circle One):
AU 3692 Examiner # 80986 ☒ PAPER ☐ DISK ☐ EMAIL
Room # 5B65 Phone 8/24 Where have you searched so far?
Serial # 09/491, 388 ☒ USP ☐ DWPI ☐ EPO ☐ JPO ☐ ACM ☐ IBM ☐ TDB
IEEE INSPEC SPI Other _____

A "Fast & Focused" Search is completed in 2-3 hours (maximum). The search must be on a very specific topic and meet certain criteria. The criteria are posted in EIC3600 and on the EIC3600 NPL Web Page at <http://ptoweb/patents/stic/stic-tc3600.htm>.

What is the topic, novelty, motivation, utility, or other specific details defining the desired focus of this search? Please include the concepts, synonyms, keywords, acronyms, definitions, strategies, and anything else that helps to describe the topic. Please attach a copy of the abstract, background, brief summary, pertinent claims and any citations of relevant art you have found.

initial public offering (IPO) or direct public offering (DPO)
done through

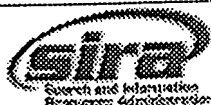
→ shelf registration or shelf offering(s)
→ SEC Rule 415

Also may be searched as
initial public offering (IPO) or DPO and staged
offerings, successive offerings

Claim 1 - see attached

Prior search done by Janice Burns #166034

STIC Searcher Paul Dobing Phone 27774
Date picked up 06/20/07 Date Completed 06/20/07





STIC Search Report

EIC 3600

STIC Database Tracking Number: 228438

TO: Elda Milef
Location: KNX 05 B65
Art Unit : 3692

From: Paul Obiniyi
Location: EIC 3600
KNX 04 C25
Phone: 27734

Case Serial Number: 09/491388

paul.obiniyi@uspto.gov

Search Notes

Dear Examiner Milef,

Attached please find the results of your search. Please feel free to contact me if you have additional questions or would like a re-focus search. Thank you and have a great day.

Paul

? show files

[File 2] **INSPEC** 1898-2007/Jun W2

(c) 2007 Institution of Electrical Engineers. All rights reserved.

[File 35] **Dissertation Abs Online** 1861-2007/May

(c) 2007 ProQuest Info&Learning. All rights reserved.

[File 65] **Inside Conferences** 1993-2007/Jun 19

(c) 2007 BLDSC all rts. reserv. All rights reserved.

[File 99] **Wilson Appl. Sci & Tech Abs** 1983-2007/May

(c) 2007 The HW Wilson Co. All rights reserved.

[File 256] **TecInfoSource** 82-2007/Nov

(c) 2007 Info.Sources Inc. All rights reserved.

[File 474] **New York Times Abs** 1969-2007/Jun 19

(c) 2007 The New York Times. All rights reserved.

[File 475] **Wall Street Journal Abs** 1973-2007/Jun 16

(c) 2007 The New York Times. All rights reserved.

[File 583] **Gale Group Globalbase(TM)** 1986-2002/Dec 13

(c) 2002 The Gale Group. All rights reserved.

**File 583: This file is no longer updating as of 12-13-2002.*

[File 23] **CSA Technology Research Database** 1963-2007/Jun

(c) 2007 CSA. All rights reserved.

[File 139] **EconLit** 1969-2007/Jun

(c) 2007 American Economic Association. All rights reserved.

[File 56] **Computer and Information Systems Abstracts** 1966-2007/Jun

(c) 2007 CSA. All rights reserved.

[File 344] **Chinese Patents Abs** Jan 1985-2006/Jan

(c) 2006 European Patent Office. All rights reserved.

[File 347] **JAPIO** Dec 1976-2006/Dec(Updated 070403)

(c) 2007 JPO & JAPIO. All rights reserved.

[File 350] **Derwent WPIX** 1963-2007/UD=200738

(c) 2007 The Thomson Corporation. All rights reserved.

**File 350: DWPI has been enhanced to extend content and functionality of the database. For more info, visit <http://www.diabg.com/dwpi/>.*

[File 371] **French Patents** 1961-2002/BOPI 200209

(c) 2002 INPI. All rts. reserv. All rights reserved.

**File 371: This file is not currently updating. The last update is 200209.*

; d s

Set	Items	Description
S1	14053	S IPO OR INITIAL()PUBLIC()OFFER? OR DIRECT()PUBLIC()OFFER??? OR DPO OR (STAGED OR SUCCESSIVE) (3N)OFFER???
S2	623	S SHELF()REGISTRATION? ? OR SHELF()OFFERING? ?
S3	33	S SEC()RULE()415 OR SEC()415 OR RULE()415
S4	1	S S3 AND S1
S5	18	S S3 AND S2
S6	3	S S1 AND S2

?

? show files

[File 15] **ABI/Inform(R)** 1971-2007/Jun 19

(c) 2007 ProQuest Info&Learning. All rights reserved.

[File 16] **Gale Group PROMT(R)** 1990-2007/Jun 15

(c) 2007 The Gale Group. All rights reserved.

[File 148] **Gale Group Trade & Industry DB** 1976-2007/Jun 15

(c) 2007 The Gale Group. All rights reserved.

[File 160] **Gale Group PROMT(R)** 1972-1989

(c) 1999 The Gale Group. All rights reserved.

[File 275] **Gale Group Computer DB(TM)** 1983-2007/Jun 15

(c) 2007 The Gale Group. All rights reserved.

[File 621] **Gale Group New Prod. Annou.(R)** 1985-2007/Jun 11

(c) 2007 The Gale Group. All rights reserved.

[File 13] **BAMP** 2007/Jun W3

(c) 2007 The Gale Group. All rights reserved.

[File 75] **TGG Management Contents(R)** 86-2007/Jun W2

(c) 2007 The Gale Group. All rights reserved.

[File 95] **TEME-Technology & Management** 1989-2007/Jun W3

(c) 2007 FIZ TECHNIK. All rights reserved.

[File 9] **Business & Industry(R)** Jul/1994-2007/Jun 14

(c) 2007 The Gale Group. All rights reserved.

[File 20] **Dialog Global Reporter** 1997-2007/Jun 19

(c) 2007 Dialog. All rights reserved.

[File 476] **Financial Times Fulltext** 1982-2007/Jun 19

(c) 2007 Financial Times Ltd. All rights reserved.

[File 610] **Business Wire** 1999-2007/Jun 19

(c) 2007 Business Wire. All rights reserved.

**File 610: File 610 now contains data from 3/99 forward. Archive data (1986-2/99) is available in File 810.*

[File 613] **PR Newswire** 1999-2007/Jun 19

(c) 2007 PR Newswire Association Inc. All rights reserved.

**File 613: File 613 now contains data from 5/99 forward. Archive data (1987-4/99) is available in File 813.*

[File 624] **McGraw-Hill Publications** 1985-2007/Jun 06

(c) 2007 McGraw-Hill Co. Inc. All rights reserved.

**File 624: Homeland Security & Defense and 9 Plat energy journals added Please see HELP NEWS624 for more*

[File 634] **San Jose Mercury** Jun 1985-2007/Jun 15
(c) 2007 San Jose Mercury News. All rights reserved.

[File 636] **Gale Group Newsletter DB(TM)** 1987-2007/Jun 01
(c) 2007 The Gale Group. All rights reserved.

[File 810] **Business Wire** 1986-1999/Feb 28
(c) 1999 Business Wire . All rights reserved.

[File 813] **PR Newswire** 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc. All rights reserved.

[File 625] **American Banker Publications** 1981-2007/Jun 13
(c) 2007 American Banker. All rights reserved.

[File 268] **Banking Info Source** 1981-2007/Jun W1
(c) 2007 ProQuest Info&Learning. All rights reserved.

[File 626] **Bond Buyer Full Text** 1981-2007/Jun 14
(c) 2007 Bond Buyer. All rights reserved.

[File 267] **Finance & Banking Newsletters** 2007/Jun 18
(c) 2007 Dialog. All rights reserved.

[File 348] **EUROPEAN PATENTS** 1978-2007/ 200724
(c) 2007 European Patent Office. All rights reserved.

**File 348: For important information about IPCR/8 and forthcoming changes to the IC= index, see HELP NEWSIPCR.*

[File 349] **PCT FULLTEXT** 1979-2007/UB=20070614UT=20070607
(c) 2007 WIPO/Thomson. All rights reserved.

**File 349: For important information about IPCR/8 and forthcoming changes to the IC= index, see HELP NEWSIPCR.*

; d s

Set	Items	Description
S1	866737	S IPO OR INITIAL() PUBLIC() OFFER? OR DIRECT() PUBLIC() OFFER??? OR DPO OR (STAGED OR SUCCESSIVE) (3N) OFFER???
S2	66838	S SHELF() REGISTRATION? ? OR SHELF() OFFERING? ?
S3	5596	S SEC() RULE() 415 OR SEC() 415 OR RULE() 415
S4	199	S S1(15N) S2
S5	0	S S4(7N) S3
S6	0	S S1(7N) S3
S7	2184	S S2(7N) S3
S8	71	S S7 AND S1
S9	41	RD (unique items)
S10	25	S S9 NOT PY>2000